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*Comparison of heat transfer in liquid and slush nitrogen by numerical simulation of cooling rates for French straws used for sperm cryopreservation*

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Title: Comparison of heat transfer in liquid and slush nitrogen by numerical simulation of cooling rates for French straws used for sperm cryopreservation

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Abstract: Slush nitrogen (SN2) is a mixture of solid nitrogen and liquid nitrogen coexisting under an average temperature of  $-207^{\circ}\text{C}$ . In order to investigate whether plunging a French plastic straw (commonly used for spermatozoa cryopreservation) in SN2 may substantially increase cooling rates with respect to liquid nitrogen (LN2), a numerical simulation of the heat conduction equation with convective boundary condition was used to predict the cooling rates. Calculations performed using heat transfer coefficients in the range of film boiling confirmed the main benefit of plunging a straw in slush over LN2 does not arise from their temperature difference ( $-207^{\circ}\text{C}$  vs.  $-196^{\circ}\text{C}$ ) but from an increase in the external heat transfer coefficient. Numerical simulations using high heat transfer (h) coefficients (assumed to prevail in SN2) suggested that plunging in SN2 would increase cooling rates of French straw. This increase of cooling rates was attributed to a less or null film boiling responsible for the low heat transfer coefficients in liquid nitrogen when the straw is placed in the solid-liquid mixture or slush. In addition, it was demonstrated that predicted cooling rates of French straws in SN2 tends to level-off for high h values, suggesting heat transfer is dictated by the heat conduction within the liquid filled plastic straw.

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**Key words:** cooling rate, slush nitrogen, heat transfer coefficient, numerical simulation, cryopreservation, spermatozoa.

## 51 1. Introduction

52

53 The plastic straw was first introduced in Denmark by Sorensen [1] in 1940 for  
54 packing liquid semen and the first attempts of freezing straws in liquid nitrogen (LN<sub>2</sub>)  
55 were reported by Adler [2] in 1961 and later modified by Cassou [3] and Jondet [4]. A  
56 typical commercially available straw is made of polypropylene or polyvinyl chloride  
57 having approximately 130 mm length, 2.6 mm o.d , 1.9 mm i.d , with 0.35 mm plastic  
58 wall thickness.

59 Pool boiling occurs when a relatively large volume of fluid surrounds the surface  
60 of a submerged object, and the fluid is not flowing itself. As soon an object is plunged  
61 into LN<sub>2</sub> it enters into the so-called film boiling regime due to the large temperature  
62 difference between the object and LN<sub>2</sub> [5, 6]. This determines a heat flow from the object  
63 to LN<sub>2</sub> causing the latter to boil in the immediate vicinity of the object creating a pocket  
64 of nitrogen vapor around it which acts as “insulator” and retards further heat transfer.  
65 Film boiling is also referred to as the “Leidenfrost effect” [7]. The object then will cool  
66 down, rather slowly due to the low heat transfer rates and the “minimum heat flux” point  
67 will be reached. Vapor film will then break off while the heat flux progressively increases  
68 as transition boiling regime is established. It is only at this point that nucleate boiling is  
69 reached; this event is characterized by a steep increase of the heat flux and up to a point  
70 called the” maximum heat flux” [5, 8].

71 Current methods of sperm cryopreservation contained in a plastic French straw  
72 involve equilibration and subsequent plunging into LN<sub>2</sub>, which results in strong nitrogen  
73 vaporization around its surface, creating a “vapor coat” which surrounds the straw acting  
74 as a heat-insulation layer or film boiling regime. As a result, convective heat transfer

75 coefficient (h) at the interface between the straw and the liquid nitrogen is quite limited  
76 leading to low cooling rates [9, 10].

77 High freezing rates have been associated with higher cell survival by several  
78 authors [11]. When a pre-cooled plastic straw (i.e., 6°C) is immersed in LN<sub>2</sub> having a  
79 boiling temperature of -196°C, the liquid nitrogen in contact with the straw surface  
80 immediately enters into the film boiling regime due to the large temperature difference  
81 between the straw and LN<sub>2</sub> ( $\Delta T=190^\circ\text{C}$ ). According to Chao [12] film boiling regime is  
82 observed at  $\Delta T > 30$  K. Sansinena et al. [13] theoretically predicted the effect of heat  
83 transfer parameters on cooling rates of liquid filled plastic French straw and concluded  
84 that in order to obtain high cooling rates, conditions had to be designed to reach the  
85 highest possible heat transfer coefficients when the plastic straw is plunged in LN<sub>2</sub>.

86 In 2002, Arav [9] developed a system where the temperature of liquid nitrogen  
87 was reduced by applying negative pressure, obtaining a mixture of solid and liquid  
88 nitrogen. The resulting slush nitrogen (SN<sub>2</sub>) is a mixture of solid nitrogen + liquid  
89 nitrogen having a temperature of (average) -207 °C. In slush nitrogen, the cooling rate  
90 attained when plunging a vitrification device (i.e. OPS “Open Pulled Straw”, Cryotop)  
91 was dramatically increased as compared to LN<sub>2</sub>. For this reason, SN<sub>2</sub> has been suggested  
92 in recent years as a way to improve cooling rates and survival of oocytes and embryos in  
93 vitrification procedures [10, 14, 15, 16]. Dos Santos et al. [17] determined the effect of  
94 SN<sub>2</sub> on the development of vitrified immature and mature bovine oocytes loaded in OPS.  
95 Nowshari and Brem [18] in their study with mouse embryos plunged 0.25 ml straws in  
96 SN<sub>2</sub> (Vit-Master<sup>®</sup> chamber).

97 Liquid nitrogen has a temperature of -196°C while SN<sub>2</sub> has a temperature in the  
98 range of -205°C to -210°C (average -207°C). It was hypothesized that the main benefit of  
99 quenching with the slush instead of liquid nitrogen is not derived from the temperature

100 difference between the two systems, but mainly from the fact that  $\text{SN}_2$  minimizes the  
101 insulating vapor layer associated with  $\text{LN}_2$  cooling. It is noteworthy that Katkov et al. [19]  
102 suggested that calculation of cooling rates was a convenient procedure due to difficulties  
103 associated with the direct measurement of temperatures in small cryopreservation devices.  
104 The aim of the present work is to perform a numerical simulation of cooling rates in  
105 plastic French straw (commonly used for spermatozoa cryopreservation) in order to verify  
106 if the utilization of  $\text{SN}_2$  actually improves cooling rates. For this purpose, the unsteady-  
107 state heat conduction equation for concentric cylinders was numerically solved taken into  
108 account convective heat transfer coefficients which typically describe the straw plunging  
109 in  $\text{LN}_2$  and  $\text{SN}_2$  and assuming that ice formation during cooling was avoided (i.e.  
110 vitrification phenomenon).

111

## 112 2. Materials and methods

113

114 A commercially available polypropylene straw (130 mm length, 2.6 mm o.d, 1.9  
115 mm i.d. and 0.35 mm wall thickness) was considered as the model system for the heat  
116 conduction. For modelling purposes two concentric cylinders were considered; the inner  
117 cylinder was assumed to be water-filled and the outer cylinder was the straw  
118 manufacturing material. Heat transfer through the straw wall and into the liquid column  
119 inside was considered to proceed by conduction. The physical model system was  
120 described in a previous publication [13].

121 The heat conduction partial differential equations for the axisymmetric problem in  
122 cylindrical coordinates, for each material  $i$ , ( $i=1$  cryopreserved spermatozoa suspension;  
123  $i=2$  plastic straw material) is represented as follows:

$$124 \quad \rho_i C_{p_i} \frac{\partial T}{\partial t} r = \frac{\partial}{\partial r} \left( k_i r \frac{\partial T}{\partial r} \right) + \frac{\partial}{\partial z} \left( k_i r \frac{\partial T}{\partial z} \right) \quad \text{in } \Omega_i \quad t > 0$$

125 (1)

126 where  $\Omega_i$  are the domains in which the equations are valid.  $\rho_i$  is the density,  $k_i$  is the  
 127 thermal conductivity and  $C_{p_i}$  the specific heat of the material,  $t$  is the cooling time,  $r$   
 128 and  $z$  are the radial and axial coordinates.

129 The continuity flux border condition at the interphase between the two materials  
 130 and the symmetry condition at  $r=0$  were considered. The boundary convective condition  
 131 was expressed as:

$$132 \quad -k_2 (\nabla T \cdot \mathbf{n}_2) = h \cdot (T - T_{\text{ext}})$$

133 (2)

134 where  $h$  is the surface heat transfer coefficient and  $T_{\text{ext}}$  is the external temperature of the  
 135 liquid nitrogen. Constant thermal properties were considered. Besides the model was also  
 136 solved considering a boundary condition of constant temperature at the interface that  
 137 corresponds to the case of  $h \rightarrow \infty$  in order to carry out a complete analysis of the effect of  
 138  $h$  on cooling rates.

139 The heat conduction equation with convective boundary condition (strong  
 140 formulation) can be considered a linear mathematical problem and was solved using the  
 141 finite element method (FEM) applying the variational formulation (weak formulation). A  
 142 commercial FEM package (COMSOL Multiphysics) was used to simulate the cooling  
 143 process of the systems. To facilitate estimation of cooling rates, it was assumed that  
 144 vitrification avoided ice formation during cooling; i.e. no latent heat of ice crystallization  
 145 was released. Thermal properties (thermal conductivity, specific heat and density) of all  
 146 materials were those previously reported by [13]. The cooling rate ( $^{\circ}\text{C}/\text{min}$ ) was defined

147 as the time needed to reduce initial core temperature of the liquid column from 6°C to  
148 -150°C at the warmest point in the device.

149

### 150 3. Results and discussion

151

152 Heat transfer coefficients for plastic straws immersed in LN<sub>2</sub> have not been  
153 reported in the literature. Recently, Sansinena et al. [20] reviewed values of heat transfer  
154 coefficients for pool boiling of various small metallic objects (i.e., thin metallic wires, flat  
155 disks, etc) plunged in liquid nitrogen, and concluded they are in the range of 125 to 1000  
156 W/(m<sup>2</sup> K) corresponding to film boiling regime. Previous studies [21] assumed that h for  
157 cryopreservation devices plunged in liquid nitrogen should be below 1000 W/(m<sup>2</sup> K), and  
158 this assumption is in agreement with the abovementioned values for film boiling in liquid  
159 nitrogen. Heat transfer coefficients for devices plunged in slush nitrogen are not available  
160 in literature; however it may be assumed they are well above the higher limit  
161 corresponding to film boiling 1000 W/(m<sup>2</sup> K).

162 Figure 1 shows predicted cooling rates for French straw when cooled either at  
163 -196°C (LN<sub>2</sub>) or -207°C (SN<sub>2</sub>), for various heat transfer coefficients estimated to be  
164 representative of film boiling ( $h \leq 800$  W/(m<sup>2</sup> K). It is observed that lowering the cooling  
165 temperature from -196°C to -207°C produces a slight increase (12 %) in cooling rates at  
166 any of the external heat transfer coefficients considered. When the model was run  
167 considering constant temperature at the surface ( $h \rightarrow \infty$ ) the calculated cooling rates were  
168 1376°C/min and 1510°C/min for -196°C and -207 °C respectively (an increase of 9.7%).  
169 Thus, main benefit of quenching with the slush instead of liquid nitrogen is not derived  
170 from the temperature difference between the two systems.

171 Figure 2 compares predicted cooling rates for French straw corresponding to LN<sub>2</sub>  
172 conditions ( $-196^{\circ}\text{C}$ ,  $h \leq 800 \text{ W}/(\text{m}^2 \text{ K})$ ) with conditions supposed to prevail in SN<sub>2</sub> ( $-207^{\circ}\text{C}$   
173 and  $h \geq 2000 \text{ W}/(\text{m}^2 \text{ K})$ ). As mentioned above, the actual heat transfer coefficient for a plastic  
174 straw plunged in LN<sub>2</sub> is not known although it is reasonable to suppose that lies within the  
175 film boiling regime values. Wesley-Smith et al. [21] suggested that slush nitrogen delayed the  
176 onset of film formation around the sample (Leidenfrost phenomenon) and Yoon et al. [22]  
177 reported photographs showing that no bubble formation occurred when a gold grid  
178 (containing oocytes) was immersed into slush nitrogen. Echlin [23] suggested that heat  
179 transfer improvement in slush nitrogen is because there is less film boiling when a sample is  
180 plunged in slush, since the heat is first transferred to the solid N<sub>2</sub> causing it to melt instead of  
181 producing the vaporization in N<sub>2</sub> film boiling regime.

182 It is important to highlight that predicted cooling rates in SN<sub>2</sub> (Figure 2, upper  
183 curve) tends to level-off for  $h$  values above  $4000 \text{ W}/(\text{m}^2 \text{ K})$ . Besides, when the model for  
184 SN<sub>2</sub> was solved considering a boundary condition of constant temperature at the interface  
185 ( $-207^{\circ}\text{C}$ ), that corresponds to the case of  $h \rightarrow \infty$ , the cooling rate for straw plunged in SN<sub>2</sub>  
186 became  $1510^{\circ}\text{C}/\text{min}$  (value not shown in Figure 2). This cooling rate represents the case  
187 when heat transfer is solely controlled by internal conduction and may be compared with  
188 the calculated rates for high heat transfer coefficients. The value of  $1510^{\circ}\text{C}/\text{min}$  (internal  
189 control only) is only 6.6 % and 9.9 % higher than cooling rates corresponding to  $h$  values  
190 of 6000 and  $4000 \text{ W}/(\text{m}^2 \text{ K})$ , respectively. From the results it can be concluded that heat  
191 transfer becomes controlled by heat conduction within the liquid filled straw and the  
192 plastic material.

193 To analyze the improvement of SN<sub>2</sub> ( $-207^{\circ}\text{C}$ ) performance with respect to LN<sub>2</sub>  
194 ( $-196^{\circ}\text{C}$ ) the cooling rates were compared for two specific conditions. The first example  
195 corresponds to  $h = 800 \text{ W}/\text{m}^2 \text{ K}$  for LN<sub>2</sub> that produced a cooling rate  $936^{\circ}\text{C}/\text{min}$  compared



196 to SN<sub>2</sub> with a  $h = 6000 \text{ W}/(\text{m}^2 \text{ K})$  that led to  $1418.2 \text{ }^\circ\text{C}/\text{min}$ ; this resulted in a 51.5 % of  
197 increase in the cooling rate. The second analysis was done considering  $h = 400 \text{ W}/(\text{m}^2 \text{ K})$   
198 for LN<sub>2</sub> which led to a cooling rate of  $682^\circ\text{C}/\text{min}$ , compared with SN<sub>2</sub>, considering  $h =$   
199  $4000 \text{ W}/(\text{m}^2 \text{ K})$ ; in this case a two-fold increase in cooling rate was achieved. It is  
200 noteworthy that for minimal volume systems (i.e.,  $1 \mu\text{l}$ ), immersion in slush nitrogen was  
201 observed to have a profound effect on cooling rate due to an important role of external  
202 control in the heat transfer phenomenon [24].

203 From these results, it can be concluded that the SN<sub>2</sub> cooling velocities would be  
204 significantly higher than the LN<sub>2</sub>. However, the performance of SN<sub>2</sub> in the case of French  
205 straw (i.e. cooling rates) is limited by the geometry and large dimensions of the system  
206 requiring the use of cryoprotectants that suppress the formation of ice crystals to attain  
207 vitrification [25].

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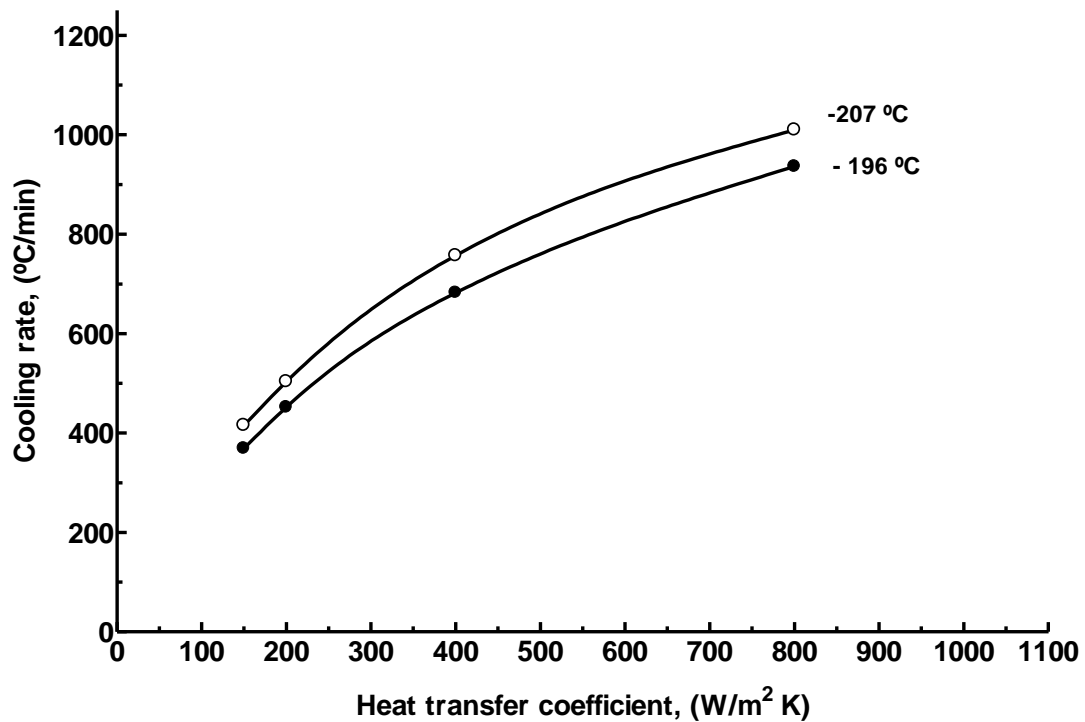
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312 **Figure 1.** Comparison of calculated cooling rates for French straw at -196°C and  
313 -207°C in a range of heat transfer coefficients estimated to be representative of film boiling.

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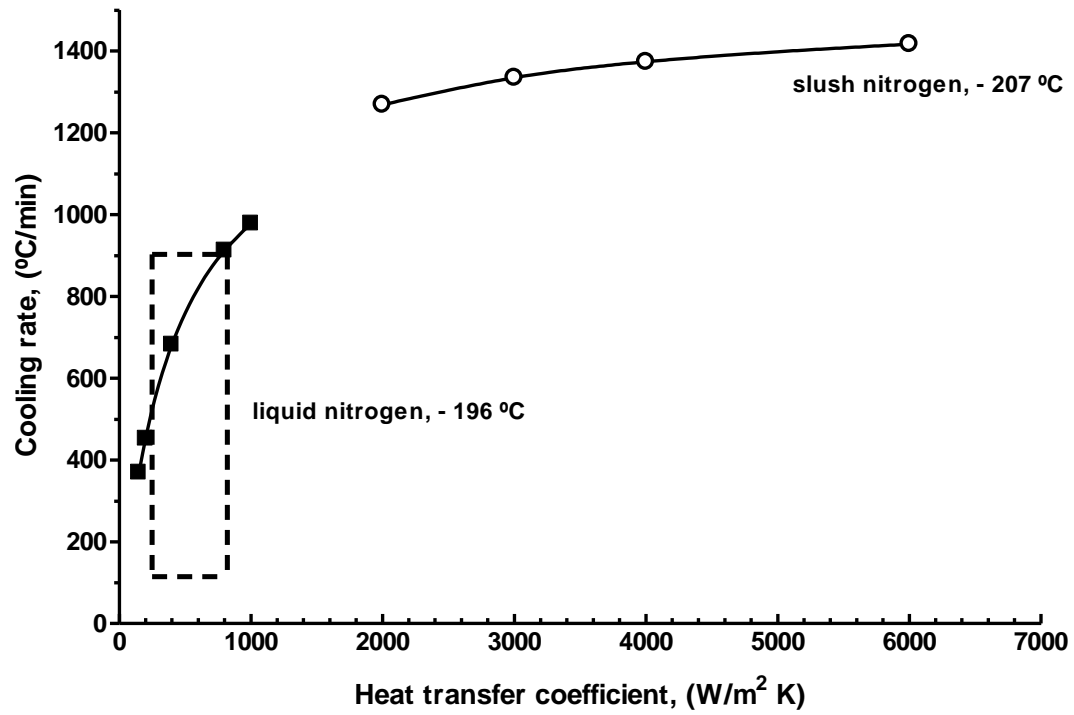
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329 **Figure 2.** Comparison of calculated cooling rates for French straw corresponding to liquid  
 330 nitrogen conditions ( $-196^{\circ}\text{C}$ ,  $h \leq 800$ ) with conditions assumed for slush nitrogen ( $-207^{\circ}\text{C}$ ,  $h$   
 331  $\geq 2000$ ). The rectangle indicates probable range of  $h$  values for film boiling regime.